## Genetic Characterization of *rbt* Mutants That Enhance Basal Transcription from Core Promoters in Saccharomyces cerevisiae<sup>1</sup>

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While this Saccharomyces cerevisiae SIN4 gene product is a component of a mediator complex associated with RNA polymerase II, various studies suggest the involvement of Sin4 in the alteration of higher-order chromatin structure. Our previous analysis of a sin4 mutant suggested that the mechanisms of transcriptional repression by Sin4 (mediator) and the Tupl-Ssn6 complex (general repressor) are different. To elucidate the way in which these two repression systems are interrelated, we isolated mutants that exhibit enhanced transcription of a reporter gene harboring the upstream activation sequence (UAS), but still are subject to Tup1-Ssn6-mediated repression. Besides sin4, rgr1, tup1, and ssn6 mutants, we also obtained new mutants that enhance basal transcription even from a core promoter without UAS. Such mutants, designated rbt for regulator of basal transcription, can be classified into at least six complementation groups, i.e., four single (rbt1 to rbt4) and two apparently double (rbt5 rbt6 and rbt7 rbt8) mutations. The phenotype of rbt mutants is dependent on the TATA box and not specific to the integration site or kind of core promoter. No significant difference in micrococcal nuclease (MNase) accessibility to the core promoter of test genes was observed between rbt mutants and the wild-type strain, indicating that the higher-order chromatin structure of the core promoter region is not significantly altered in these mutants. The rbt1 to rbt4 mutations are suppressed by the  $\Delta gall1$  mutation as in the case of the sin4 mutation, but give rise to a different profile from the sin4 mutation with regard to the activity of some of the promoters. From these observations, we suggest that RBT gene product(s) could be novel mediators that act with or in close association with Sin4 but have a function distinct from that of Sin4. Moreover, the fact that rbt mutations nullify Tup1-Ssn6 general repressor-mediated repression is consistent with the idea that the mechanisms of Rbt (mediator)- and Tup1-Ssn6 (general repressor)-mediated repression are interconnected but substantially different.

# Key words: basal transcription, general repressor Tup1-Ssn6, mediator, Saccharomyces cerevisiae, Sin4.

Transcriptional regulation requires the interactions of specific regulatory proteins with components of the transcription machinery. The specific regulatory proteins that are implicated in transcriptional activation and/or repression bind, directly or indirectly, to an upstream element containing UAS or upstream repression sequence (URS). The transcription machinery binds to a core promoter region including a TATA box as an essential element. The basal transcription is dependent on the core-promoter and requires

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RNA polymerase (RNAP II), general transcription factors (GTFs) such as TFIIA, TFIIB, TFIID, TFIIE, TFIIF, and TFIIH, and mediators that are associated with the C-terminal heptapeptide repeat domain (CTD) of RNAP II.

We have been interested in the role of mediators in the regulation of the basal transcription of various promoters. Genetic and biochemical analyses in Saccharomyces cerevisiae have revealed that mediators consist of a large protein complex including proteins such as Nut1, Nut2, Rox3, Gal11, Sin4, Rgr1, Pgd1, Srbs, and Meds, and that mutations in the components of the mediator complexes lead to disregulated expression of a wide variety of genes (1-9). We previously identified a mutation of SIN4, the product of which is one of the most poorly understood mediator components, as a suppressor mutation that suppresses a mutation of the gene encoding the transcriptional activator Gcn4, which activates the expression of the HIS5p-PHO5 reporter gene [HIS5 promoter fused to the open reading frame (ORF) of PHO5 encoding repressible acid phosphatase; rAPase], and found that basal transcription is increased by the sin4 mutation in all core promoters tested

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to date (10). It has also been reported that the micrococcal nuclease (MNase)-sensitivity of bulk chromatin is increased in the sin4 mutant relative to that in the wild-type strain, and that the sin4 mutation alters the number of nucleosomes in closed circular DNAs (11), whereas no effect on nucleosome positioning (12) was observed. Therefore, it was assumed that the enhanced basal transcription in the sin4 mutant is caused by the alteration of the higher-order chromatin structure and not by nucleosome positioning. We also reported that enhanced basal transcription in the sin4 mutant is not repressed by the Tup1-Ssn6 complex-mediated repression system, which represses transcription through the control of nucleosome positioning (10, 13). In addition, Tup1-Ssn6 complex-mediated repression has been shown to be diminished in mutants of other components of the mediator complex, such as Srb8, Srb10, and Srb11 (14, 15), suggesting that the two repression systems operate through different pathways and therefore the mechanisms of the repression of basal transcription by the mediator complex and the Tup1-Ssn6 complex are different.

To learn more about the interrelationships between the two repression systems, we isolated mutants that exhibit enhanced transcription of a reporter gene harboring UAS and which is subject to Tup1-Ssn6-mediated repression. Some of mutations identified occurr in sin4, rgr1, tup1, and ssn6 while others apparently occur in unidentified genes. Mutants, designated as rbt for regulator of basal transcription, were classified into six complementation groups, *i.e.*, four single (rbt1 to rbt4) and two apparently double (rbt5 rbt6 and rbt7 rbt8) mutations. rbt1 to rbt4 mutants display several phenotypes that are quite similar to but distinct from those of the sin4 mutant. We suggest that RBT gene product(s) could be novel mediators that act with or in close association with Sin4 but harbor a function distinct from that of Sin4. We also suggest that mutations such as rbt. that lead to a defect in the repression of basal transcription nullify Tup1-Ssn6 general repressor-mediated repression, consistent with the idea that the mechanisms of Rbt (mediator)- and Tup1-Ssn6 (general repressor)-mediated repression are interconnected but substantially different.

### MATERIALS AND METHODS

Media and Genetic Methods—The nutrient high- $P_i$  (YPDA) and minimal (SD) media for S. cerevisiae (16) and the LB medium for Escherichia coli (17) were prepared as described previously. The minimal medium was supplemented with appropriate nutrients when necessary (16). Solid media contained 20 g of agar per liter. The genetic methods for S. cerevisiae have been as described previously (18). S. cerevisiae was transformed by the lithium-acetate method (19) and E. coli was transformed by the method of Morrison (20).

Strains—The yeast strains used in this work are listed in Table I. To construct the rbt1-1  $\Delta gal11$ -double mutant (SH5512), a  $\Delta gal11::LEU2$  disruptant (SH5488) showing an rAPase<sup>-</sup> phenotype was crossed with an rbt1-1 mutant (SH5494) that displayed an rAPase<sup>+</sup> phenotype and the resultant diploids were subjected to tetrad analysis. Since the  $\Delta gal11::LEU2$  disruption mutation can be monitored by the Leu<sup>+</sup> phenotype, the presence of an rbt1-1 mutation in some meiotic segregants showing an rAPase<sup>-</sup> Leu<sup>+</sup> pheno-

type, and, therefore, having the supposed genotype of either Agal11::LEU2 or rbt1-1 Agal11::LEU2, was tested by crossing the segregants with the rbt1-1 mutant (SH5511) and determining the rAPase activity of the colonies of the resultant diploids. One among several segregants, designated SH5512 and exhibiting the rAPase- Leu<sup>+</sup> phenotype, was verified to have the rbt1-1 Agal11::LEU2 double mutation since the resultant diploid exhibited the rAPase<sup>+</sup> phenotype. The rbt2-1 Agal11-double (SH5517), rbt3-1 Agal11double (SH5711), and rbt4-1 Agal11-double (SH5682) mutants were constructed and verified as described above using rbt2-1 mutants (SH5497 and SH5520), rbt3-1 mutants (SH5709 and SH5710), and rbt4-1 mutants (SH5681 and SH5680), respectively, and the Agal11::LEU2 disruptant (SH5487 and SH5513) (data not shown). E. coli TG1 (17) was used to manipulate the plasmid DNAs.

Plasmids—Construction of YIp-type plasmids, pAAV, pAAV-A, and pAAV-B, harboring the  $\Delta UASPHO84p$ -PHO5, IME1<sub>A</sub>-AUASPHO84p-PHO5, and IME1<sub>B</sub>-AUASPHO84p-PHO5 reporter genes, respectively, was described previously (21). Construction of YCp-type plasmids harboring the SUC2p-PHO5 fusion reporter gene (p1039), the  $\Delta$ UAS-PHO84p-PHO5 fusion reporter gene (p891) and the ∆TATAPHO5p-PHO5 fusion reporter gene (p1319) was described previously (10). Construction of control reporter gene, containing only an ORF of the PHO5 gene (pSH39) was described previously (22). To construct the  $\Delta UAS$ -PHO84p-PHO5 fusion reporter gene (p1244), a 2.1-kbp HindIII-SphI fragment containing the PHO5p-PHO5 fusion reporter gene from p714 (10) was inserted into the HindIII-SphI site of YIp33 (23), resulting in plasmid p813. Then, a 0.3-kbp HindIII-BamHI fragment containing the  $\Delta UASPHO84$  promoter from pAAV was cloned into the HindIII-BamHI gap of p813. To construct the ΔUAS-PHO5p-PHO5 fusion reporter gene (p890), a 3.3-kbp BamHI fragment from pPHO5 (24) was inserted into the BamHI site of YIp5 (23). Then, the core promoter region of the PHO5 gene was amplified by PCR using the resultant plasmid as the template and oligonucleotides designated OLI184 and OLI142 (Table II) as the forward and reverse primers, respectively. The amplified product was doubly digested with HindIII and BamHI, and cloned into the HindIII-BamHI gap of pSH39, resulting in plasmid p890. To construct the YCp-type plasmid harboring the ΔUAS-CYC1p-PHO5 fusion reporter gene (p1762), a 0.3-kbp HindIII-BamHI fragment carrying the core promoter region of the CYC1 gene from p1108 (10) was inserted into the HindIII-BamHI gap of p891. YCp-type plasmids carrying the TUP1 (p1161) or SSN6 (p1162) gene were derived from pRS315 (25). YEp-type plasmid carrying the RGR1 gene (pSAKO34) (a generous gift from A. Sakai) was described previously (26). To construct YCp-type plasmid carrying the NCB1 (p1854) gene, an approximately 1.8-kbp fragment was amplified by PCR using chromosomal DNAs of strain MCY3605 (27) as templates and oligonucleotides designated as OLIG27 and OLIG28 (Table II) as the forward and reverse primers, respectively. The amplified product was cloned into the pT7blue(R) Vector (Novagen). An approximately 1.8-kbp SalI-SmaI fragment was inserted into the Sall-Smal gap of pRS313 (25). To construct YCp-type plasmid carrying the NCB2 (p1855) gene, an approximately 1.6-kbp fragment was amplified by PCR using chromosomal DNAs of strain MCY3605 as templates and

TABLE I. S.	cerevisiae	strains use	d in	this	study.
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844413	MATE WEIGHT HELSE HELSE IN DER ANDER DER ANDER DER ANDER I VERSIGNE IN DER ALBERT HALTER ALBERT	Our laboratory
5145397	MATA ura 52 mul 5112 Abia 1ml bar abol 1 abol 1 abit 1 tra 52 (META ALAS PHONO PHOSE (BAT)	This study
8H5400	MATA UN3-52 ING-5 112 ANS 171 MR2 and 1 and 1 and 1 ING-1 UN3-52: [INE] + ALAS PHONO-PHOS+URAT	This study
5H5338	MATE 1073-52 1012-3 112 (1013 101) 1012 0103-1 0105-1 1014-1 0103-02:10151-0105-10060-0105+10843	This study
\$165388	MATA W23-52 M2-3 112 Ahia3 tro1 haz aho3-1 aho5-1 m4-162 w23-52; IME1-ALASPHO44-PHO5-LIBA3	This study
8115401	MATa wa3-52 mi2-3 112 Ahis3 tro 1 ha2 aho3-1 aho5-1 rbt1-1	This study
SH5402	MATa wa3-52 Mu2-3.112 Ahla3 trp1 /wa2 pho3-1 pho5-1 rbt2-1	This study
SH5404	MATa una-52 inu2-3,112 Ahia3 tro1 inu2 pho3-1 pho5-1 rbt4-1	This study
\$H5403	MATa wrs3-52 leu2-3,112 Ahis3 trp1 lys2 pho3-1 pho6-1 sh4-162	This study
SH5219	MATa wrs3-52 ieu2-3,112 Ahis3 trp1 ede2 pho3-1 pho5-1 rbt1-2 urs3-52:[IME h-AUASPHO84p-PHO5+URA3]	This study
8H5220	MATa urs3-52 leu2-3,112 Ahla3 trp1 ede2 pho3-1 pho5-1 rbt3-1 urs3-52: [IME1s-AUASPHO84p-PHO5+URA3]	This study
8H5221	MATa urs3-52 lout-3,112 Ahls3 trp1 ada2 pho3-1 pho5-1 rbt2-2 urs3-52:[ME1=-AUA8PH004p-PH06+URA3]	This study
SH5509	MATa urs3-52 leu2-3,112 Ahis3 trp1 ade2 pho3-1 pho5-1 rbt5 rbt5 urs3-52::[ME ta-AUAS PHO64p-PHO5+URA3]	This study
SH5222	MATa ura3-52 leu2-3,112 \hla3 trp1 ede2 pho3-1 pho6-1 rbt7 rbt8 ura3-52::[IME te-AUA8 PHO84p-PHO6+URA3]	This study
8H5223	MATa urs3-62 lou2-3,112 Ahis3 trp1 ade2 pho3-1 pho5-1 rbt1-2	This study
SH5224	MATa urs3-52 leu2-3,112 \his3 trp1 ede2 pho3-1 pho5-1 rbt3-1	This study
\$H5225	MATa wn3-52 iau2-3,112 ∆his3 trp1 ada2 pho3-1 pho5-1 rbt2-2	This study
SH5510	MATa urs3-52 lou2-3,112 Ahis3 trp1 adot pho3-1 pho5-1 rbt5 rbt6	This study
SH5226	MATa ura3-52 lou2-3,112 Ahis3 trp1 ado2 pho3-1 pho5-1 rbt7 rbt9	This study
H5Y5-3A	MATa una3 leu2 trp1 ade1 ∆gal11::LEU2	M. Nichizawa
SH5486	MATa ura3 leut trp1 ade1 Agel11::LEUt ura3[AUA8PH004p-PH05+URA3]	This study
SH5447	MATa ura3 ieu2 trp1 pho3-1 pho5-1 \gail11::LEU2 ura3::(\UA3PHO84p-PHO5+URA3)	This study
SH5488	MATa una3 ieuz trp1 pho3-1 pho5-1 Agei11::LEUz una3::(AUAS PHO84p-PHO5+URA3)	This study
5115489	MATe una3-52 leu2-3,112 trp1 hte4 lye2 pho3-1 pho5-1 une3-52:[AUA3PHO84p-PHO6+URA3]	This study
SH5491	MATa ura3-52 iau2-3,112 trp1 iya2 pho3-1 pho5-1 ura3-52:[AUA8PH064p-PH05+URA3]	This study
SH5494	MATa ura3-52 ieu2-3,112 trp1 iye2 pho3-1 pho6-1 rbt1-1 ura3-52::[JUASPHO84p-PHO6+URA3]	This study
SH5497	MATa ura3-62 Inu2-3,112 trp1 hin4 iya2 pho5-1 pho5-1 rbt2-1 ura3-62:[[[][[][[][][][][][][][][][][][][][]][]	This study
5H5498	MATa urs3-52 (au2-3,112 http://pito3-1 pho5-1 pho5-1 rbc2-1 urs3-52: (AUASPHOB4p-PHO6+URA3)	This study
SH6611	MATa uns3-62 Iou2-3,112 trp1 pho3-1 pho6-1 rbt1-1 uns3-62:1/2UA8 PHO64p-PHO6+ URA3]	This study
8H5612	MATa una-62 Ini2-3,112 Ania3 trp1 iya2 pho3-1 pho5-1 rbt1-1 Agai 11::LEU2 una-62:[AUA8PHOMp-PHO6+UFIA3]	This study
SH6616	MATE ure3-82 lou2-3, 112 Ahie3 are hie4 trp1 lys2 pho3-1 pho6-1 ure3-82:[AUASPHOB4p-PHO6+URA3]	This study
SH5617	MATG una-52 inu2-3,112 hin4 pho5-1 pho5-1 rbt2-1 Agen1::LEU2 una3:(AUASPHOB4p-PHO5+URA3)	This study
345518	MATE una-dz leuz-a, 112 trp1 phos-1 phos-1 una-1, JAUASPHTOMP-THOMP-TTO THOT TATION TATIONTATIONTALIA TATIONTATIO	This study
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8/13906	MAIA UNIS-52 NUZ-3, 112 ANAS UP1 1/42 Phos-1 Phos-1 Apricon: Consts UNIS-52 NUZ-3, 112 ANAS PHONP PHOS UNIA 3	This study
8000016	MAIG UN3-52 MU2-3,112 ΔNB3 UP1 1/42 PHO3-1 Pho5-1 TAPHO94:: COMUS-32: (AND 1A-4048) HUMP (H103)	
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TABLE II. Oligonucleotides used.

Oligonucleotides		Sequence
OLIG21	5'- AAATCAOTG	наятсоотосяоттятосяссяватотсотосясяодявасяостятовсе 3
OLIG22	5'- CAATATGAG	CANANTCNTTCANNTGGTTGTGGANGGCCNTGTTGTNNANCGNCGGCCNGT -3'
OLIG27	5'- TOTOTCOAC	GATCTATTACATTATOGGTOG -3'
OLIG28	5'- TOTCCCOOG	AGAATCCTCGAGCTAGCCCTT -3'
OLIG29	5'- TOTCTCOAD	TOTCTTTGATTTCOTAAGAAT -3'
OLIG30	5'- TOTCCCOOG	NANTAOTOGAATOTGATAOTT -3'
OLIG31	5'- TOTCTCGAG	асстотасслоталасалата -3'
OLIG32	5'- TOTCCCOOO	GRAAAAGCATGCCTGTCTCAA -3'
PGD1c	5'- CTCOGATCC	otcgagtacgagaacctttc -3'
OLIG33	5'- TOTCTCOAO	TATOTCCCTTTTTTGGAGCAAT -3'
OLIG38	5'- CGCGGATCC	гттталаасааадастатаа -3'
OLIG39	5'- CGCGGATCC	TTGAAAGGATTTTTTGAACA -3'
OLJ184	5'- CTCAAGCTT	TATCAAATTGGTCACCTTAC -3'
OLI142	5'- CTCOGATCC	ITOCTCTATITOTTOTTGTT -3'

oligonucleotides designated OLIG29 and OLIG30 (Table II) as the forward and reverse primers, respectively. The amplified product was cloned into the pT7blue(R) Vector. A

XhoI-SmaI fragment of about 1.6-kbp was inserted into the SalI-SmaI gap of pRS313. YCp-type plasmids carrying the ROX3 (p1856) and PGD1 (p1857) genes were constructed in the same way as p1855 using OLIG31 and OLIG32 for the *ROX3* gene, and PGD1c and OLIG33 for the *PGD1* gene. To construct a YCp-type plasmid carrying the *SRB11* (p2032) gene, an approximately 2.5-kbp fragment was amplified by PCR using chromosomal DNAs of strain MCY3605 as templates and oligonucleotides designated OLIG38 and OLIG39 (Table II) as the forward and reverse primers, respectively. The amplified product was cloned into the pT7blue(R) Vector. A *Bam*HI fragment of about 2.5-kbp was inserted into the *Bam*HI site of pRS313.

Disruption of the PHO84 Gene—Disruption of the PHO84 gene was conducted by the method of PCR-mediated gene disruption described by Sakumoto *et al.* (28) using the plasmid pCgHIS3 carrying the *Candida glabrata* HIS3 gene as the template and oligonucleotides designated OLIG21 and OLIG22 (Table II) as the forward and reverse primers, respectively. The disruption was verified by Southern blot analysis. A 0.9-kbp *Hind*III-*Bam*HI fragment from p602 (10) was used as a probe (data not shown).

Biochemical Methods—Methods to detect rAPase activity in colonies and to assay rAPase activity using intact S. cerevisiae cells were described previously (18). The preparation of RNAs from S. cerevisiae cells for Northern blot analysis was described previously (18). DNA manipulation was according to procedures described previously (17). The method for Southern blot analysis was described previously (17).

Isolation and Analysis of Chromatin—Yeast nuclei were isolated from exponentially growing ( $OD_{600} = 1.0$ ) cells of each strain cultured in YPDA medium as previously described (29). Digestion with micrococcal nuclease (MNase) and DNA purification were performed as previously described (30) with the following modifications: (i) The nuclear pellet (1.0 g) was gently suspended in 7.0 ml of digestion buffer. (ii) The suspension was divided into 600 µl aliquots and the nuclei digested at 37°C for 5 min using a series of MNase dilutions (0, 1.25, 2.5, 5, 10, and 40 units/ ml) (Boehringer Mannheim). The DNA samples were resolved by electrophoresis in a 1.2% agarose gel.

#### RESULTS

Isolation of Mutants That Enhance Transcription from a Reporter Gene Subjected to Tup1-Ssn6 Mediated Repression—To isolate mutants that exhibit enhanced transcription from a reporter gene that is subject to repression mediated by the Tup1-Ssn6 complex, we used the IME1<sub>A</sub>- $\Delta$ UASPHO84p-PHO5 (pAAV-A) and IME1<sub>B</sub>- $\Delta$ UASPHO84p-PHO5 (pAAV-B) reporter genes since we previously revealed that both IME1<sub>A</sub> and IME1<sub>B</sub> harbor a Tup1-Ssn6 complex-dependent URS (21). Wild-type  $\alpha$ -haploid strains harboring the IME1<sub>A</sub>- $\Delta$ UASPHO84p-PHO5 (in SH4761) or

TABLE III. Summay of sceening for mutants that exhibited enhanced transcription from  $\Delta UASPHO84$  promoter.

Reporter gene	Mutation	Number of mutants
IME1p-ΔUASPHO84p-PHO5	Nonmater	17
(Region A or Region B)	Dominant	52
	tup1	7
	ssn6	4
	sin4	75
	rgr1	10
ΔUASPHO84p-PHO5	rbt	17
Total		175

 $IME1_{B}-\Delta UASPHO84p-PHO5$  (in SH4433) reporter gene integrated at the ura3-52 locus were mutagenized by EMS, and mutants that enhanced the activity of the reporter gene (rAPase<sup>+</sup> phenotype) were screened by staining (see "MATERIALS AND METHODS"). Of 140,000 colonies screened, we found 175 showing the rAPase<sup>+</sup> phenotype (Table III). To distinguish mutants exhibiting a dominant and nonmater phenotype, all mutants were crossed with wild-type a-cells (SH682). Of 175 mutants 158 were mating-competent, and colonies of the 158 resultant diploids were stained for rAPase activity to monitor the expression of the reporter gene. The number of dominant mutants was 52 because diploids crossed with these mutants showed the rAPase+ phenotype. Analyses of these dominant mutants will be conducted in a separate study. Sterile mutants also were not analyzed in this study. However, seven of 17 sterile mutants were shown to harbor the tup1 mutation as verified by suppression of the rAPase<sup>+</sup> phenotype of transformants of these mutants with a YCp-type plasmid carrying the TUP1 gene (p1161). Interestingly, 75 of 106 recessive and mating-competent mutants were revealed to carry a sin4 mutation because diploids constructed by crossing these mutants with the MATa-sin4 mutant (SH2921) showed the rAPase\* phenotype. Since we knew from this result that these mutations include those of the mediators as well as tup1, we introduced a YCp-type plasmid carrying the SSN6 gene (p1162) and a YEp-type plasmid carrying the RGR1 gene (pSAKO34) into the 31 remaining mutants, and colonies of the resultant transformants were stained to determine rAPase activity. The rAPase+ phenotypes of four and ten mutants were suppressed by introduction of the plasmid borne-SSN6 gene and RGR1 gene, respectively, indicating that these mutants harbored the ssn6 and rgr1 mutations, respectively.

These results led to us to examine whether mutations responsible for the rAPase<sup>+</sup> phenotype of the remaining mutants are effective in enhancing basal transcription from a core promoter. The  $\Delta UASPHO84p$ -PHO5 (pAAV) reporter gene was introduced into the 17 remaining mutants and colonies of the resultant transformants were stained for rAPase activity. All the transformants still showed the rAPase<sup>+</sup> phenotype, indicating that activation can occur even for reporter genes with only a core promoter. It should be noted that the rAPase<sup>+</sup> phenotype produced by these mutations cannot be repressed by Tup1-Ssn6 complexmediated repression because the mutants were originally screened to isolate those that enhance the transcription of the  $IME1_A$  and  $IME1_B$  promoters harboring URS subject to repression by the Tup1-Ssn6 complex. All these observations suggest that mutations that destroy repression of basal transcription nullify Tup1-Ssn6-mediated repression.

TABLE IV. Classification of rbt mutants.

Complementation group	Number of mutants
rbt1	7
rbt2	2
rbt3	1
rbt4	5
rbt5 rbt6"	1
rbt7 rbt8	1

In these mutants, two or more genes are responsible for the *rbt* phenotype.

Seventeen recessive mutants that showed increased basal transcription from a core promoter were further analyzed in this study.

Genetic Complementation of rbt Mutants-To classify the 17 mutants, we determined by tetrad analysis whether the rAPase<sup>+</sup> phenotype segregates through meiosis as a singlegene trait. In 15 of 17 mutants, the rAPase<sup>+</sup> phenotype segregated as a single-gene trait in more than eight asci examined. However, two or more genes were suggested to be responsible for the rAPase<sup>+</sup> phenotype in the remaining two mutants (data not shown). We next performed complementation tests. From these analyses, we defined at least six complementation groups, two of which represent apparently double mutations as described above (data not shown). We designated these mutants rbt1 to rbt4 (regulator of basal transcription) for a single mutation and rbt5 rbt6 and rbt7 rbt8 for double mutations (Table IV). The staining of colonies and measurements of rAPase activity in representative mutants from each complementation group are shown in Fig. 1. We also conducted Northern blot analysis to determine whether enhanced rAPase activity is



Fig. 1. Staining of colonies and measurement of rAPase activity in representative rbt mutants from each complementation group. Upper panel: wild-type strain (SH4761) and rbt1-1 (SH5397), rbt2-1 (SH5400), and rbt4-1 (SH5398) mutants harboring the IME1\_-PHO84p-PHO5 fusion reporter gene (pAAV-A) integrated at the ura3.52 locus. Lower panel: the wild-type strain (SH4433) and rbt1-2 (SH5219), rbt3-1 (SH5220), rbt2-2 (SH5221), rbt5 rbt6 (SH5509), and rbt7 rbt8 (SH5222) mutants harboring the IME18-AUASPHO84p-PHO5 fusion reporter gene (pAAV-B) integrated at the ura3 locus. The copy numbers of the fusion reporter genes in these strains were not determined. However, the wild-type and mutant strains listed in each panel must have the same copy number because each mutant strain was directly derived from the respective wild-type strains by in vivo mutagenesis. (A) These strains were grown on YPDA plates for one day and the colonies were stained using a staining method specific for rAPase activity (18). (B) For measurement of rAPase activity, cells of these strains were grown at 30°C in YPDA medium to an OD<sub>600</sub> of 1.0, washed once with water and resuspended in an equal volume of water. The measurement of rAPase activity in cell suspensions has been described previously (see "MATERIALS AND METHODS"). The results are averages of at least three independent experiments and standard deviations are indicated.

caused at the level of transcription. As shown in Fig. 2, the level of transcription of a reporter gene in the *rbt* mutants was higher than that in the wild-type strain, indicating that the rAPase<sup>+</sup> phenotype in *rbt* mutants is caused at the level of transcription.

rbt Mutations Do Not Occur in the NCB1, NCB2, PGD1, ROX3, and SRB11 Genes-The rox3 mutant has been shown to enhance the basal transcription of a diverse set of promoters (3, 7). It has been reported that basal transcription from a UAS less-SUC2 promoter is activated in an ncb1 mutant and that the Ncb1 protein binds to TBP as a heterodimer with Ncb2 (31, 32). The pgd1 mutant shows quite similar phenotypes to sin4 and rgr1 mutants (2, 33). The *srb11* mutation was identified as a suppressor of the mutation of SWI4, which is a transcriptional activator for several genes (7). Therefore, we determined whether RBT genes are identical to the NCB1, NCB2, PGD1, ROX3, or SRB11 gene; single rbt1-1, rbt2-1, rbt3-1, rbt4-1, and double rbt5 rbt6 and rbt7 rbt8 mutants were transformed with YCp-type plasmids carrying the NCB1 (p1854), NCB2 (p1855), PGD1 (p1856), ROX3 (p1857), or SRB11(p2032) gene and colonies of the resultant transformants were stained for rAPase activity. The levels of rAPase activity of colonies of these transformants were higher than those of transformants harboring the control plasmid pRS313, indicating that enhanced transcription in the rbt mutants is not suppressed by plasmid-borne NCB1, NCB2, PGD1, ROX3, or SRB11 gene (data not shown), indicating that the RBT genes are not identical to the NCB1, NCB2, PGD1, ROX3, and SRB11 genes.

rbt Mutations Are Effective Irrespective of Chromosomal Position and Kind of Core Promoter—We examined whether the enhanced basal transcription in the rbt mutants occurs only in reporter genes with a core promoter integrated at the ura3 locus. The  $\Delta UASPHO84p$ -PHO5 reporter gene (p1244) is integrated at the leu2-3,112 locus of the rbt mutants. Separately, the YCp-plasmid borne  $\Delta UASPHO84p$ -PHO5 reporter gene was also introduced into the rbt mutants. All transformants showed the rAPase<sup>+</sup> phenotype, indicating that enhanced basal transcription in the rbt mutants occurrs in the reporter gene



Fig. 2. Enhanced rAPase activity in *rbt* mutants is caused at the transcriptional level. RNA samples were prepared from cells of the strains described in the legend to Fig. 1, which were grown at 30°C in 10 ml of YPDA medium to an  $OD_{600}$  of 1.0. The Northern blot was hybridized with the *PHO5* probe. A 1.8-kbp *Sall*-fragment from pAAV (21) was used as a probe to detect the *PHO5* transcript and an approximately 1.0-kbp *BamHI-HindIII* fragment from plasmid p448 was used as a probe to detect the *ACT1* transcript. Fold activation compared with the wild-type strains was calculated using NIH Image 1.62.

integrated at the leu2 locus and on the plasmid (Table V and data not shown).

We also examined whether *rbt* mutations result in enhanced transcription from the core promoter of genes other than *PHO84*. Plasmid-borne  $\Delta UASCYC1p$ -*PHO5p* (p1762) and  $\Delta UASPHO5p$ -*PHO5* (p890) reporter genes were introduced into the *rbt* mutants (Table V). The rAPase activity of the *rbt* mutants carrying the reporter genes was higher than that of the wild-type strain, indicating that the effects of *rbt* mutations are not specific to the  $\Delta UASPHO84$  promoter. From these results, we suggest that the Rbt phenotype, *i.e.*, enhanced transcription from core promoters, is not specific to the kind of core promoter.

The Effect of rbt Mutations Requires a TATA-Element— Transcription from some promoters, including, promoters of PHO5, IME1, and HIS5 genes integrated at the ura3-52 locus, is increased by the sin4 mutation even under repressive conditions, and enhanced basal transcription due to the sin4 mutation is dependent on the TATA-element (10). Therefore, we investigated whether rbt mutations display similar characteristics to the sin4 mutation. For this purpose, we used the PHO5p-PHO5 reporter gene (p714). This gene was integrated at the ura3-52 locus in the rbt mu-

TABLE V. rAPase activity of plasmid-borne reporter genes harboring several core promoters in *rbt* mutants.

	rAPase activity (mU/ml/OD <sub>600</sub> )			
Strains	ΔUASPHO84p- PHO5	ΔUASCYC1p- PHO5	ΔUASPHO5p- PHO5	
Wild-type	$4.3 \pm 1.7 ()^{\circ}$	3.1 ± 0.1 ()*	2.5 ± 0.1 (—)*	
rbt1-1	$10.2 \pm 4.3 (2.4)$	$8.1 \pm 0.3 (2.7)$	$4.5 \pm 0.3 (1.8)$	
rbt2-1	$21.1 \pm 2.0 (4.9)$	$5.9 \pm 0.1 (1.9)$	$3.4 \pm 0.3 (1.4)$	
rbt4-1	5.7 ± 1.4 (1.3)	33.6 ± 1.5 (11.0)	$14.8 \pm 3.5 (6.0)$	
sin4	$11.8 \pm 1.0 (2.8)$	$14.4 \pm 0.3 (4.7)$	$6.9 \pm 2.6 (2.8)$	
Wild-type	$1.8 \pm 0.2$ (—)	$3.1 \pm 0.1$ (—)	$1.6 \pm 0.4$ (—)	
rbt1-2	$2.4 \pm 0.4 (1.4)$	$5.0 \pm 0.1 (1.6)$	$2.4 \pm 0.2 (1.5)$	
rbt2-2	$3.0 \pm 0.2 (1.7)$	$6.3 \pm 0.6 (2.0)$	$5.6 \pm 0.7 (3.5)$	
rbt3-1	$3.3 \pm 0.9 (1.8)$	$7.1 \pm 0.3 (2.3)$	$3.0 \pm 0.6 (1.8)$	
rbt5 rbt6	$10.0 \pm 0.5 (5.8)$	$8.4 \pm 0.2 (2.7)$	$3.5 \pm 0.1 (2.1)$	
rbt7 rbt8	$4.3 \pm 0.8 (2.5)$	$12.3 \pm 0.1 (4.0)$	$4.5 \pm 1.1 (2.8)$	

\*Fold activation compared with the wild-type strain.

Fig. 3. (A) In rbt mutants as in sin4 mutants, rAPase activity of the PHO5p-PHO5 reporter gene (p714) integrated at the ura3-52 locus is enhanced. One copy of the reporter gene was present in rbt mutants (SH5401, SH5402, SH5404, and SH5224), the sin4 mutant (SH5403), and the isogenic wild-type strains (SH3700 and SH4358) as determined by Southernblot analysis. Methods for staining and the measurement of rAPase activity were as described in the legend to Fig. 1. (B) The enhanced transcription in the rbt mutants is dependent on the TATA-element. rbt mutants, sin4 mutant and the isogenic wild-type strains described in (A) were transformed with the  $\Delta TATAPHO5p$ -PHO5 reporter gene (p1319) integrated at the ura3-52 locus. One copy of the reporter gene was present in these transformants, as determined by Southern-blot analysis. These transformants were stained on



YPDA plates. rAPase activity was measured as described in the legend to Fig. 1.

tants and rAPase staining of transformant colonies was conducted on YPDA medium in which conditions are repressive to the PHO5 gene (Fig. 3A). In all but the rbt4-1 mutant that was less affected by the rbt4-1 mutation, basal transcription from the PHO5 promoter was enhanced (Fig. 3). Then, to examine whether the effect of the rbt mutations is dependent on TATA-elements, the  $\Delta$ TATAPHO5p-PHO5 reporter gene (p1319) was integrated at the ura3-52 locus in the rbt mutants (Fig. 3B). No stimulatory effect of the rbt mutations was observed for the PHO5 promoter containing a mutation in the TATA element (TATA to TGCA) (Fig. 3B and Ref. 10). These observations indicate that enhanced basal transcription in the rbt mutations requires TATA elements.

rbt Mutations Display Genetic Interaction with the Agal11 Mutation—We have isolated a dominant ABE1-1 (Activator of Basal Expression) mutant that suppresses the sin4 mutation, and found that the ABE1 gene is identical to the GAL11 gene (Mizuno et al., manuscript in preparation). Gal11 is known to be a component of the mediator complex and is suggested to activate transcription by stimulating CTD phosphorylation by TFIIH (34). We also noticed that the sin4 mutant and the  $\Delta gal 11$  disruptant exhibited the haplo-insufficiency phenotype: enhanced basal transcription due to the sin4 mutation is partially suppressed in sin4 Agal11/sin4 GAL11-diploid cells, while the enhancement is completely suppressed in sin4  $\Delta gal 11$ -haploid cells and sin4 Agal11/SIN4 GAL11-diploid cells (Mizuno et al., manuscript in preparation). These observations motivated us to determine whether the rbt mutations also show genetic interaction with the  $\Delta gal 11$  mutation. We have constructed a haploid strain having the *rbt*  $\Delta gal11$  genotype, and diploid strains having the rbt Agal11/RBT GAL11 and rbt Agal11/rbt GAL11 genotypes, and examined the expression of the reporter gene. As shown in Fig. 4, in all cases of the rbt1 to rbt4 mutants, the enhanced expression of the reporter gene due to the *rbt* mutations was partially suppressed in rbt Agal11/rbt GAL11 diploid cells, whereas that due to rbt mutations was completely suppressed in the rbt  $\Delta gal11$  haploid cells and the rbt  $\Delta gal11/RBT$  GAL11 diploid cells. These results indicate that all of the rbt1 to



Fig. 4. rbt1 to rbt4 mutations show genetic interaction with the  $\Delta gal11$  mutation as in the case of the sin4 mutation. All strains harbored the  $\Delta UASPHO84p$ -PHO5 reporter gene (pAAV) integrated at the ura3-52 locus. (A) Colonies of the wild-type strain (SH-5489),  $\Delta gal11::LEU2$  mutant (SH5513),  $\Delta sin4::TRP1$  mutant (SH-5696),  $\Delta gal11::LEU2$  mutant (SH5513),  $\Delta sin4::TRP1$  mutant (SH-5696),  $\Delta gal11::LEU2$   $\Delta sin4::TRP1$ -double mutant (SH5695),  $\Delta sin4::$  $TRP1/\Delta sin4::TRP1 \Delta gal11/GAL11$ -diploid (SH5695 X SH5489), and  $\Delta sin4::$  $TRP1/\Delta sin4::TRP1 \Delta gal11/GAL11$ -diploid (SH5695 X SH5696) were stained as described in the legend to Fig. 1. (B) The wild-type strain (SH5491),  $\Delta gal11::LEU2$  mutant (SH5487), rbt1-1-single mutant (SH494), rbt1-1  $\Delta gal11::LEU2$ -double mutant (SH5512), rbt1-1/ Agal11/GAL11-diploid (SH5512 X SH5515) and rbt1-1/rbt1-1  $\Delta gal11/GAL11$ -diploid (SH5512 X SH5511) were stained on YPDA plates. (C) Colonies of the wild-type strain (SH5491),  $\Delta gal11::LEU2$ 

rbt4 mutations interact genetically with the  $\Delta gal11$  mutation as sin4 mutation does. Since this behavior of the rbtmutations is very similar to that of the sin4 mutation, we conclude that Rbt1 to Rbt4 function in close association with Sin4 and Gal11 in the regulation of basal transcription.

Chromatin Structure of the Core Promoter Region in rbt Mutants—MNase that cleaves linker DNA located between nucleosomes is commonly used to monitor chromatin strucmutant (SH5487), rbt2-1-single mutant (SH5498), rbt2-1  $\Delta gal11::$ LEU2-double mutant (SH5517), rbt2-1/RBT2  $\Delta gal11/GAL11$ -diploid (SH5517 X SH5518), and rbt2-1/rbt2-1  $\Delta gal11/GAL11$ -diploid (SH-5517 X SH5520) were stained as described in the legend to Fig. 1. (D) The wild type-strain (SH5489),  $\Delta gal11::LEU2$  mutant (SH5513), rbt3-1-single mutant (SH5709), rbt3-1  $\Delta gal11::LEU2$ -double mutant (SH5711), rbt3-1/RBT3  $\Delta gal11/GAL11$ -diploid (SH5711 X SH5489), and rbt3-1/rbt3-1  $\Delta gal11/GAL11$ -diploid (SH5711 X SH5489), and rbt3-1/rbt3-1  $\Delta gal11/GAL11$ -diploid (SH5711 X SH5489), and rbt3-1/rbt3-1  $\Delta gal11/GAL11$ -diploid (SH5711 X SH5489),  $\Delta gal11::$ LEU2 mutant (SH5513), rbt4-1-single mutant (SH5680), rbt4-1  $\Delta gal11::LEU2$ -double mutant (SH5682), rbt4-1/RBT4  $\Delta gal11/GAL11$ -diploid (SH5682 X SH5489), and rbt4-1/rbt4-1  $\Delta gal11/GAL11$ -diploid (SH5682 X SH5680) were stained as described in the legend to Fig. 1.

ture. Macatee *et al.* (12) have reported that MNase accessibility is altered at histone loci (H3 and H4) and at the  $HML\alpha$  locus as a result of the loss of the SIN4 gene. Therefore, we examined whether the chromatin structure in the core promoter region of the  $\Delta UASPHO84p$ -PHO5 reporter gene integrated at the *ura3-52* locus is altered in the *rbt* mutants. The MNase digestion pattern, determined by staining with EtBr, of bulk chromatin isolated from the wild-type strain, and *rbt1-2*, *rbt2-1*, *rbt4-1*, and *sin4* mu

A

B



M 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35



mutants. Methods for isolation and digestion with MNase of bulk chromatins are described in "MATERIALS AND METHODS." The bulk chromatin from the rbt1-2 mutant (SH5505) (lane 6 to 10), the isogenic wild-type strain for the rbt1-2 mutant (SH5503) (lane 1 to 5), the rbt2-1 (SH5506) (lane 21 to 25), rbt4-1 (SH5508) (lane 16 to 20), and sin4 (SH5507) (lane 31 to 35) mutants, and the isogenic wild-type strain for these mutants (SH5504) (lane 11 to 15 and 26 to 30) was digested with MNase. The concentrations of MNase used to digest the chromatins were as follows: no treatment (lanes 1, 6, 11, 16, 21, 26, and 31); 2.5 units/ ml (lanes 2, 7, 12, 17, 22, 27, and 32); 5 units/ml (lanes 3, 8, 13, 18, 23, 28, and 33); 10 units/ml (lanes 4, 9, 14, 19, 24, 29, and 34); 40 units/ml (lanes 5, 10, 15, 20, 25, 30, and 35). After resolution by electrophoresis, agarose gels were stained with ethidium bromide. (B) The digestion patterns using MNase around the core-promoter region of the reporter gene are not altered in the rbt1-2, rbt2-1, and rbt4-1 mutants. After taking photographs of the agarose gels described in (A), the DNA was transferred to nitrocellulose membranes and Southern blot analysis was conducted. A 0.2-kbp BamHI-HindIII fragment containing the core promoter region of the PHO84 gene from pAAV was used as a probe.

M 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 26 29 30 31 32 33 34 35

tants was almost identical (Fig. 5A). Southern blot analysis was subsequently performed using the core promoter region of the PHO84 gene as a probe. Since the chromosomal PHO84 gene was deleted in all these strains, the probe was expected to detect the core promoter region of the PHO84 gene integrated at the ura3-52 locus. As shown in Fig. 5B, the MNase digestion patterns of these mutants were also the same as that of the wild-type strain. These observations indicate that the global aspect of chromatin accessibility and the chromatin structure of the core promoter region of the  $\Delta$ UASPHO84p-PHO5 reporter gene integrated at the ura3-52 locus are not altered by the *rbt* mutations. Therefore, we conclude that the enhancement of basal transcription in the *rbt* mutants is not caused by alterations in higher-order chromatin structure.

#### DISCUSSION

In this study, we isolated mutants that abolished Tupl-Ssn6-mediated repression and found them to contain mutations of mediators such as sin4 and rgr1 that enhance the basal transcription of core promoters. Such mutations also include seventeen recessive mutations that appear to be unidentified novel mediator mutations, and these were analyzed further. Genetic complementation analysis revealed that the seventeen mutants can be classified into at

Fig. 5. (A) MNase sensitivity of

bulk chromatins is not altered in

the rbt1.2, rbt2.1, rbt4-1, and sin4

least six complementation groups (Tables III and IV). It has been reported that sin4-mediated activation is repressed by the Rme1- but not by the Tup1-Ssn6 complex-mediated repression system (10). Since enhanced transcription from core promoters was observed even when IME1, or IME1, both of which harbor URS that are subject to repression by the Tup1-Ssn6 complex (21), was inserted upstream of the core promoters, we conclude that the rbt mutations somehow overcome or weaken the Tup1-Ssn6-complex mediated repression as seen in the sin4 mutant (Table III, Fig. 1, and data not shown). Similarities between the rbt mutation and the sin4 mutation were also noted in that the enhancement of core-promoter activity by rbt mutations require TATA elements and is not specific to any particular chromosomal locus or kind of core-promoter (Fig. 3 and Table V). In addition, rbt mutations, as well as the sin4 mutation, display genetic interaction with the  $\Delta gal11$  mutation (Fig. 4). Based upon these observations, we propose that Rbt proteins are factors, possibly mediators, that act in conjugation or in close association with Sin4 and Gal11.

Interestingly, the effect of the *rbt* and *sin4* mutations on the enhancement of transcription from individual core-promoters differs (Table V). For example, the level of enhancement is higher in the case of the  $\Delta UASCYC1p$  reporter (4.7-fold compared with that of the wild-type strain) than in the case of the  $\Delta UASPHO84p$  (2.8-fold) and the  $\Delta UAS$ -PHO5p (2.8-fold) reporters in sin4 mutants. Conversely, a higher level of enhancement was observed in the case of the  $\Delta UASPHO84p$  reporter (4.9-fold) compared with the  $\Delta UASCYC1p$  (1.9 fold) and  $\Delta UASPHO5p$  (1.4 fold) reporters in the *rbt2-1* mutants (Table V). This differential effect was also seen between the rbt4 and sin4 mutations for the PHO5p-PHO5 and  $\Delta$ UASPHO5p-PHO5 promoters, as the rbt4 mutation results in a higher level of transcription from the  $\Delta UASPHO5p$ -PHO5 promoter than the PHO5p-PHO5 promoter, while the sin4 mutation has a stronger effect on the PHO5p-PHO5 promoter than the  $\Delta UASPHO5p-PHO5$ promoter (Fig. 3 and Table V). These observations suggest that Rbt2 and Rbt4 harbor different functions from Sin4 even though all of the rbt2, rbt4, and sin4 mutations cause enhanced basal transcription from all tested core-promoters

There are several lines of evidence showing that Sin4 plays a role in the maintenance of higher-order chromatin structure (11, 12). Therefore, we determined whether the enhancement in basal transcription in rbt mutants is also caused by alterations of higher-order chromatin structure. However, the chromatin structure of the core-promoter region of the PHO84 gene integrated at the ura3-52 locus is not altered in the rbt mutants (Fig. 5, A and B). In addition, contradictory to a previous report (12), no clear alteration of MNase accessibility was observed between the sin4 mutant and the wild-type strain (Fig. 5, A and B). However, since we have successfully shown, using the same protocol as employed in this study, that MNase accessibility to the telomere region and promoter regions of the HSP12 and HXK1 genes is increased as a result of the loss of the SIR3 gene (lida et al., manuscript submitted), we assume that the enhancement of transcription from core promoters in the rbt mutants occurs through mechanisms other than the alteration of higher-order chromatin structure.

Mutations in many genes have been reported to enhance basal transcription (2, 15, 35-41). Some of these mutants

show pleiotropic phenotypes. For example, mutations of the BUR6/NCB1 and BUR3/MOT1 genes result in the Galphenotype in addition to increased basal transcription from core promoters of the SUC2 and CYC1 genes (32). Mutations of SUD1/SPT10 gene cause temperature-sensitive (TS) growth, reduced sporulation efficiency, and sensitivity to heat shock and nitrogen starvation, in addition to increased basal transcription from core promoters of the STA1, CYC1, CUP1, HIS3, PUT1, and PUT2 genes (41). In contrast, the rbt mutants isolated in this study display no such pleiotropic phenotypes. Therefore, we suggest that the *RBT* genes are not identical to the above-mentioned genes. Since some of the above-mentioned genes, i.e., the BUR genes and several SPT genes, have been shown to suppress defects in UAS or supposed activator(s) of the SUC2 gene, we examined the transcription of the SUC2p-PHO5 reporter gene integrated at the ura3-52 locus in the rbt mutants. rAPase activity in the rbt1-1, rbt2-1, rbt3-1, and rbt4-1 mutants harboring this reporter gene was not significantly increased in comparison with the wild-type strain (data not shown), suggesting that the *rbt1* to *rbt4* mutations are not present in those genes. From these observations, we suggest that Rbt proteins are novel factors that act in conjunction with or in close association with Sin4 and have similar but distinct functions from that of Sin4. We also suggest that mutations, such as *rbt*, that lead to the defect in the repression of basal transcription nullify Tup1-Ssn6 general repressor-mediated repression, consistent with the idea that the mechanisms of Rbt (mediator)- and Tup1-Ssn6 (general repressor)-mediated repression are interconnected but substantially different.

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